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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/608,533	06/30/2003	Hiroyuki Asako	Q76265

23373  
 SUGHRUE MION, PLLC  
 2100 PENNSYLVANIA AVENUE, N.W.  
 WASHINGTON, DC 20037

CONFIRMATION NO. 8267

## FORMALITIES LETTER



\*OC000000011034759\*

Date Mailed: 10/14/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentln Software, call (703) 306-2600
- For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**


Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 3 - OFFICE COPY

**Effective OCTOBER 1, 2003**, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY 2003  
and FY-2004 Fee Schedules

\* Indicates  
fees remain  
at FY 2003  
amount

DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity)	\$260	\$265
Reissue filing fee	\$750	\$770
Reissue filing fee (Small Entity)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call  
(703-308-HELP)